Microorganisms often encounter anisotropy, for example in mucus and biofilms. We study how anisotropy and elasticity of the ambient fluid affects the speed of a swimming microorganism with a prescribed stroke. Motivated by recent experiments on swimming bacteria in anisotropic environments, we extend a classical model for swimming microorganisms, the Taylor swimming sheet, actuated by small-amplitude traveling waves in a three-dimensional nematic liquid crystal without twist. We calculate the swimming speed and entrained volumetric flux as a function of the swimmer’s stroke properties as well as the elastic and rheological properties of the liquid crystal. These results are then compared to previous results on an analogous swimmer in a hexatic liquid crystal, indicating large differences in the cases of small Ericksen number and in a nematic fluid when the tumbling parameter is near the transition to a shear-aligning nematic. We also propose a novel method of swimming or pumping in a nematic fluid by passing a traveling wave of director oscillation along a rigid wall.

1 Introduction

The nature of the fluid through which a microorganism swims has a profound effect on strategies for locomotion. At the small scale of a bacterial cell, inertia is unimportant and locomotion is constrained by the physics of low-Reynolds-number flows.1–3 In a Newtonian liquid such as water, low-Reynolds number locomotion is characterized by two distinctive properties: a vanishingly small timescale for the diffusion of velocity, and drag anisotropy, which is a difference between the viscous drag per unit length on a thin filament translating along its long axis and transverse to its long axis.3 In resistive force theory, drag anisotropy is required for locomotion.4–6

In complex fluids such as polymer solutions and gels, the elasticity of the polymers introduces a new timescale, the elastic relaxation timescale, which is much longer than the timescale for the diffusion of velocity.7 When the fluid has an elastic response to deformation, swimming speeds can increase or decrease depending on the body geometry and the elastic relaxation timescale,8–16 and the so-called scallop theorem does not apply.17,18 Swimmers can move faster in gels and networks of obstacles than in a Newtonian liquid.19–21 When the flagellum size is similar to the size of the polymers, local shear-thinning may be the primary cause of swimming speed variations in such fluids.22–25

Like polymer solutions and gels, liquid crystals have an elastic relaxation time scale, but they also alter the drag anisotropy required for propulsion since the fluid itself exhibits anisotropy. For example the nematic liquid crystal phase consists of rod-like molecules which spontaneously align in the absence of an external field. The consequences of molecular anisotropy on the locomotion of microorganisms have recently been explored experimentally. Proteus mirabilis cells were found to align with the nematic director field and form multi-cellular assemblies26,29,30 (Fig. 1a). When swimming near nematic droplets, surface topological defects were shown to play an important role in bacterial escape from the liquid crystal interface.29 Collective dynamic effects and director-guided motion was also observed in Bacillus subtilis at low bacterial volume fraction, and a local melting of the liquid crystal caused by the bacteria was found27 (Fig. 1b and c). Potential applications include the delivery of small cargo using the direction of molecular orientation.31 Understanding these results may be relevant in understanding locomotion in biofilms28 (Fig. 1d), and is complementary to recent work on active nematics, or soft active matter, in which dense suspensions of microorganisms themselves can exhibit nematic-like ordering32–34 (Fig. 1e).

A classical mathematical model of swimming microorganisms is Taylor’s swimming sheet,4 in which either transverse or longitudinal waves of small amplitude propagate along an immersed sheet of infinite extent. Extensions of this model have been used to study other important phenomena such as hydrodynamic synchronization,35–38 interactions with other immersed structures39,40 and geometric optimization.41 Other variations on
this asymptotic model have been used to study locomotion in a wide variety of complex fluids by numerous authors.\textsuperscript{42} Locomotion in liquid crystals, however, has not yet seen much theoretical treatment. In previous works,\textsuperscript{43,44} we studied a one-dimensional version of Taylor's swimming sheet in a two-dimensional hexatic LC film. Departure from isotropic behavior in that model is greatest for large rotational viscosity and strong anchoring boundary conditions, and the swimming direction depends on fluid properties. Further unusual properties for Taylor's swimming sheet were observed, such as the presence of a net volumetric flux. Because the nematic phase is more commonly observed than the hexatic, the present study is intended to explore new features that arise with nematic order, and also to determine when, if ever, the hexatic model can be used to accurately describe swimming in a nematic liquid crystal.

In this article we extend the Taylor swimming sheet model to the study of force- and torque-free undulatory locomotion in a three-dimensional nematic liquid crystal, with tangential anchoring of arbitrary strength on the surface of the swimmer. We assume the director lies in the $xy$-plane and does not twist (Fig. 1f). Alternatively the problem could be considered as filament motion in a two-dimensional nematic fluid. By performing an asymptotic calculation to second-order in the wave amplitude, assumed small compared to the wavelength, we examine how fluid anisotropy and relaxation affects swimming speed. We show how the swimming velocity depends on numerous physical parameters, such as the rotational viscosity $\gamma$, anisotropic viscosities $\mu$, the Frank elastic constants $K$, the tumbling parameter $\lambda$, and the Ericksen number $Er$, which measures the relative viscous and elastic forces in the fluid. The rate of fluid transport induced by swimming is also investigated; unlike in a Newtonian fluid, the induced fluid flux can be either along or against the motion of the swimmer.

The paper is organized as follows: in Section 2.1 we describe the stresses that arise in a continuum treatment of a nematic liquid crystal near equilibrium. In Section 2.2 we use these stresses to derive a set of coupled equations for the flow field and local nematic orientation. Following Taylor,\textsuperscript{1} we nondimensionalize and expand these equations perturbatively to first- and second-order in wave amplitude and derive an integral relation for the swimming speed and volume flux in Sections 2.3 and 2.4. The dependence of the swimming speed and flux on Ericksen number, rotational viscosity, and tumbling parameter is described in Section 3. In Section 3.4, we show that a propagating wave of director oscillation can result in fluid pumping and locomotion of a passive flat surface. To determine the regimes in which the results for swimming speed and flux are comparable in nematic and hexatic fluids, and where they differ, we plot these quantities side-by-side and discuss the results in Section 4.

2 Theory

2.1 Viscous and elastic stresses

In a continuum treatment of a nematic liquid crystal, a local average of molecular orientations is described by the director field $\mathbf{n}$. The fluid's viscous stress response to deformation is approximated by incorporating terms linear in the strain rate.
that preserve \( \mathbf{n} \to -\mathbf{n} \) symmetry. In an incompressible nematic, the deviatoric viscous stress\(^{45,46} \)

\[
\sigma^d = 2\mu E + 2\mu_1^* \mathbf{n} n \cdot \mathbf{E} n + \mu_2^* (n \mathbf{E} n + n \mathbf{E} n),
\]

with \( E = [\nabla \mathbf{v} + (\nabla \mathbf{v})^T]/2 \) the symmetric rate-of-strain tensor, and \( \mathbf{v} \) the velocity field. The shear viscosity of an isotropic phase is \( \mu \), and \( \mu_1^* \) and \( \mu_2^* \) are viscosities arising from the anisotropy. The coefficients \( \mu_1^* \) and \( \mu_2^* \) can be negative, but the physical requirement that the power dissipation be positive yields bounds of \( \mu > 0, \mu_1^* > -2\mu, \) and \( \mu_1^* + \mu_2^* > -3\mu/2 \). While both the nematic phase and the hexatic phase we studied previously\(^43 \) are anisotropic, the hexatic phase has \( \mu_1^* = \mu_2^* = 0 \) and thus has an isotropic viscous stress tensor, in contrast with the nematic.

Meanwhile, the elastic free energy for a nematic liquid crystal is

\[
\mathcal{F} = \frac{K_1}{2} (\nabla \cdot \mathbf{n})^2 + \frac{K_2}{2} (\mathbf{n} \cdot \nabla \times \mathbf{n})^2 + \frac{K_3}{2} \mathbf{n} \times (\nabla \times \mathbf{n})^2,
\]

where \( K_1 \) is the splay elastic constant, \( K_2 \) is the twist elastic constant, and \( K_3 \) is the bend elastic constant.\(^45,46 \) The total free energy in the fluid (per unit length) is \( F_\text{el} = \int \mathcal{F} \, dx \, dy \). As mentioned earlier, for simplicity we do not consider twist, and thus we disregard \( K_2 \). Thus, the angle field \( \theta(x, y, t) \) completely determines the nematic configuration (Fig. 1f).

Comparing again with our previous study,\(^43 \) there is only one Frank constant when the two-fold symmetry of the nematic is enlarged to the six-fold symmetry of a hexatic.

Equilibrium configurations of the director field are found by minimizing \( \mathcal{F} \) subject to \( |\mathbf{n}| = 1 \). This procedure leads to \( \mathbf{h} = 0 \), where \( \mathbf{h} \) is the transverse part of the molecular field \( \mathbf{H} = -\nabla \mathcal{F} / |\nabla \mathcal{F}|; \mathbf{h} = \mathbf{H} - \mathbf{n} n \mathbf{H} \). Near equilibrium, the fluid stress corresponding to the elastic free energy \( \mathcal{F} \) is then\(^46,47 \)

\[
\sigma^\text{el}_h = -\Pi_{kl} \partial_l n_l - \frac{\lambda}{2} (n_h h_k + n_k h_h) + \frac{1}{2} (n_h h_k - n_k h_h),
\]

where \( \Pi_{kl} = \partial_l \mathcal{F} / \partial (\partial_k n_l) \). In equilibrium, the condition for the balance of director torques \( \mathbf{h} = 0 \) implies the balance of elastic forces, \( -\partial_l \sigma^\text{el}_{kl} + \partial_l \sigma^\text{el}_{lg} = 0 \), provided the pressure is given by \( p_{eq} = -\mathcal{F} \). The “tumbling” parameter \( \lambda \) is not a dissipative coefficient, but is related to the degree of order and the type of nematic, with calamitic phases (composed of rod-like molecules) tending to have \( \lambda > 0 \), and discotic phases (composed of disk-like molecules) tending to have \( \lambda < 0 \). The value of this parameter further classifies nematic fluids as either “tumbling” (\( \lambda < 1 \)) or “shear-aligning” (\( \lambda \geq 1 \)). In a simple shear flow, tumbling nematics continuously rotate whereas shear-aligning nematics tend to align themselves at a certain fixed angle relative to the principal direction of shear. In DSCG, a lyotropic chromonic liquid crystal commonly used in experiments on swimming microorganisms in liquid crystals, the tumbling parameter \( \lambda \) is a function of temperature and has a range \( \lambda = 0.6-0.9 \).\(^46 \) For comparison, the hexatic phase has \( \lambda = 0 \) and therefore lacks any of these distinctions.

Alternatively, we could have formulated the stresses using the Ericksen–Leslie approach; the connection between the Ericksen–Leslie approach and that used here is discussed in ref. 47 and 49.

### 2.2 Governing equations

The swimming body is modeled as an infinite sheet undergoing a prescribed transverse sinusoidal undulation of the form \( Y^* = (w/q)\sin(qx - \omega t) \), measured in the frame moving with the swimmer. Here \( \omega \) is the dimensionless amplitude of the swimmer. We focus on transverse waves in the body of this article, but we briefly treat longitudinal waves in the Appendix.

At zero Reynolds number, conservation of mass of an incompressible fluid results in a divergence-free velocity field, \( \nabla \mathbf{v} = 0 \), and conservation of momentum is expressed as force balance,

\[
-\partial_t p + \partial_j (\sigma^\text{el}_{ij} + \sigma^\text{visc}_{ij}) = 0.
\]

Torque balance is expressed by (see ref. 45 and 46):

\[
\partial_t n_l + (\mathbf{v} \cdot \nabla) n_l = \frac{1}{2} [ (\nabla \times \mathbf{v}) \times \mathbf{n} ]_i = \lambda (\delta_{ij} - n_i n_j) E_{ij} n_k + h_i h^*_j, \tag{5}
\]

where \( \gamma^* \) is a rotational or twist viscosity.\(^\dagger \) In DSCG, \( \gamma^*/\mu \) ranges from \( \approx 5 \) to \( \approx 50 \).\(^\ddagger \) The viscous torque arising from the rotation of the director relative to the local fluid rotational dynamics with viscous torque arising through \( E \) and elastic torque through \( -\mathbf{h} \).

We work in the rest frame of the swimmer.

The no-slip velocity boundary condition is applied on the swimmer surface, and as \( y \to \infty \) the flow has uniform velocity \( \mathbf{v} = U^* \mathbf{k} \) where \( -U^* \) is the swimming speed. Meanwhile, the director field has a preferential angle at the boundary due to anchoring conditions. We will study the case of tangential anchoring at the swimmer surface, with anchoring strength \( W^* \).\(^47 \) Since we expand in powers of the amplitude, we may write this condition to second order in the angle field:

\[
-K_1 \partial_t \theta + W (\theta - \partial_t Y^*) = 0, \tag{6}
\]

where \( Y^*(x, t) \) describes the swimmer shape, and \( (6) \) is evaluated at \( y = Y^* \). It is convenient to define the dimensionless anchoring strength \( w = W/(qK_1) \).

Henceforth we treat \( x, y, \) and \( t \) as dimensionless variables by measuring length in units of \( q^{-1} \) and time in units of \( \omega^{-1} \). The dimensionless viscosities are defined by \( \mu_1^* \mu_1^* = \mu_2^* = \mu_2^*/\mu \) and \( \gamma = \gamma^*/\mu \). It is also convenient to introduce the wave speed \( c = \omega/\eta \), which is one in the natural units. The ratio of Frank constants is denoted by \( K_1 = K_1/K_3 \), and we define \( U = U^*/c \), and \( Q = Q^*/(\omega^2/c^2) \) for the volumetric flux. The undulating shape of the swimmer takes the nondimensional form

\[
(X, Y) = (0, \xi)\sin(x - t). \tag{7}
\]

The elastic response of the fluid to deformation introduces a length-scale-dependent relaxation time, \( \tau = \mu/(K_1 q^2) \). For small-molecule liquid crystals, typical values are \( \mu \approx 10^{-2} \) Pa s and \( K_1 \approx 10^{-11} \) N. On the length scale of bacterial flagellar undulations for which \( q \approx 1 \mu m^{-1} \), the relaxation time is \( \tau \approx 1 \) ms. Comparing the typical viscous stress \( (1) \) with the typical elastic stress \( (3) \), we find the Ericksen number,\(^48 \) written \( Er = \tau \omega \). Note that unlike the Reynolds number, which is always

\(\dagger\) For comparison with the much simpler hexatic phase, Appendix A includes the governing equations for a hexatic liquid crystal.\(^48 \)
small for swimming microorganisms, the Ericksen number for a swimming microorganism may be small or large. The beat frequencies and wavenumbers of undulating cilia and flagella vary widely, and for experiments on bacteria in liquid crystals the Ericksen number can be small, or large, $\varepsilon$.

### 2.3 Leading order fluid flow

Following Taylor, we pursue a regular perturbation expansion in the wave amplitude $\varepsilon$. The stream function $\psi$ is defined by $\mathbf{v} = \nabla \times (\psi \hat{z})$; this form ensures $\nabla \cdot \mathbf{v} = 0$. The stream function $\psi$ and the angle field $\theta$ are expanded in powers of $\varepsilon$ as $\psi = \psi(1) + \varepsilon \psi(2) + \mathcal{O}(\varepsilon^2)$ and $\theta = \theta^{(1)} + \varepsilon \theta^{(2)} + \mathcal{O}(\varepsilon^2)$. Force and torque balance from (4) and (5) at $O(\varepsilon)$ are given by

$$\nabla^4 \psi^{(1)} + \frac{4\mu_1}{2 + \mu_2} \partial_x^2 \partial_y^2 \psi^{(1)} + \frac{1}{(2 + \mu_2)\varepsilon}$$

$$\times \left\{ (1 + \lambda) \partial_x^2 \partial_y^2 \theta^{(1)} + [K_r(1 + \lambda) + 1 - \lambda] \partial_x^2 \partial_y^2 \partial_x \partial_y \theta^{(1)} \right\} \tag{8}$$

$$+ K_r(1 - \lambda) \partial_x \partial_y \partial_x \partial_y \theta^{(1)} \right\} = 0,$$

and

$$\partial_t \theta^{(1)} + \frac{1 + \lambda}{2} \partial_x^2 \psi^{(1)} + \frac{1 - \lambda}{2} \partial_y^2 \psi^{(1)}$$

$$- \frac{1}{\varepsilon \varepsilon_r} \left( (1 + \lambda) \partial_x^2 \theta^{(1)} + K_r \partial_y^2 \theta^{(1)} \right) = 0. \tag{9}$$

Eqn (8) and (9) are solved by $\psi^{(1)} = \text{Re} \{\psi^{(1)}\}$ and $\theta^{(1)} = \text{Re} \{\theta^{(1)}\}$, where

$$\tilde{\psi}^{(1)} = \sum_{j=1}^{3} c_j e^{ij(x+y)}, \tag{10}$$

$$\tilde{\theta}^{(1)} = \sum_{j=1}^{3} d_j e^{ij(x+y)}. \tag{11}$$

Insertion of (10) and (11) into (8) and (9) results in a cubic equation for $m = r_j^2$,

$$0 = A_0 + m A_1 + m^2 A_2 + m^3 A_3,$$

where

$$A_0 = - 2(2 + \mu_2) + \gamma \left[ (1 + \lambda)^2 + 2 \varepsilon \varepsilon_r (2 + \mu_2) \right],$$

$$A_1 = m \left\{ K_r \gamma (1 + \lambda)^2 + 2(2 + \mu_2) + 2(4 + 4\mu_1 + 2\mu_2) \right\} + \gamma \left[ (1 - \lambda^2 - 2 \varepsilon \varepsilon_r (2 + 2\mu_1 + \mu_2) \right],$$

$$A_2 = A_0 + 4\mu_1 + 2K_r \gamma (1 - \lambda^2 - 2(2 + 4\mu_1 + 2\mu_2),$$

$$A_3 = K_r \gamma \left[ (1 - \lambda^2) + 2 + 4\mu_2 \right].$$

The velocity field remains finite as $y \to \infty$ if the roots $r_j$ are taken with negative real part. The relation between the coefficients $c_j$ and $d_j$ follows from (8) and (9):

$$d_j = c_j \frac{\varepsilon \varepsilon_r (1 + \lambda - (1 - \lambda)r_j^2)}{2(1 - K_r r_j^2 - i \varepsilon \varepsilon_r)}, \tag{13}$$

and the coefficients $c_j$ are determined by the boundary conditions at first order in amplitude

$$\partial_y \psi^{(1)} \Big|_{y=0} = 0, \quad \partial_y \psi^{(1)} \Big|_{y=0} = -\varepsilon \varepsilon_r (1 - \lambda), \quad \partial_y \psi^{(1)} + w \psi^{(1)} \Big|_{y=0} = \omega \varepsilon \varepsilon_r (1 - \lambda). \tag{14}$$

### 2.4 Second-order problem

The equations at second order in $\varepsilon$ have many terms and are unwieldy. However, they are simplified by averaging over the spatial period. Since the forcing is a traveling sinusoidal wave depending on space and time through the combination $x - t$, averaging over $x$ causes derivatives with respect to $x$ and with respect to $t$ to vanish. Denoting the spatial average by $\langle \cdot \rangle$, we find

$$\langle (1 - \lambda) K_r \partial_x^2 \psi^{(2)} \rangle + \langle \partial_x^2 \psi^{(1)} \rangle = f, \tag{17}$$

$$\frac{K_r}{\gamma \varepsilon \varepsilon_r} \langle \partial_y^2 \psi^{(2)} \rangle - \frac{1}{2} \langle (1 - \lambda) \partial_y \psi^{(1)} \rangle = g, \tag{18}$$

where $f$ and $g$ are given by

$$f = \frac{k_1}{\varepsilon \varepsilon_r} \langle \partial_x \psi^{(1)} \partial_x^2 \theta^{(1)} \rangle + \frac{4\mu_1}{2 + \mu_2} \langle \nabla \psi^{(1)} \cdot \nabla \theta^{(1)} \rangle, \tag{19}$$

$$g = \langle \psi^{(1)} \cdot \nabla \theta^{(1)} \rangle - 2\langle \partial_x \psi^{(1)} \partial_x \theta^{(1)} \rangle - \frac{k_2}{\gamma \varepsilon \varepsilon_r} \langle \partial_x \theta^{(1)} \partial_x \theta^{(1)} \rangle. \tag{20}$$

with $k_1 = [K_r(1 + \lambda) + 1 - \lambda][2(2 + \mu_2)$ and $k_2 = K_r - 1. Expanding the no-slip boundary condition to second order, we find

$$\langle \psi^{(2)} \rangle \Big|_{y=0} = -\langle \partial_y \psi^{(1)} \rangle \Big|_{y=0}. \tag{21}$$

where $Y$ is given by eqn (7). The second-order part of the anchoring condition takes the form

$$-\langle \partial_y \psi^{(2)} \rangle + \langle \partial_y \theta^{(2)} \rangle \Big|_{y=0} = \Xi, \tag{22}$$

The swimming speed and velocity field at second order are given by solving (17) and (18) subject to the no-slip boundary condition and no flow at infinity. The result is

$$\langle \psi^{(2)} \rangle \Big|_{y=0} = -\langle \partial_y \psi^{(1)} \rangle \Big|_{y=0} = -\langle \partial_y \psi^{(1)} \rangle \Big|_{y=0}, \tag{24}$$

where $F(y) = \int_0^y f(y')dy'$ and $x = 2\gamma [(1 - \lambda)^2 + 2(2 + \mu_2)]^{-1}$. The boundary conditions on $\langle \psi^{(2)} \rangle$ do not enter the expression for $\langle \psi^{(2)} \rangle$. The swimming speed $U$ is given by the flow speed (24) at $y = \infty$:

$$U = \langle \psi^{(2)} \rangle \Big|_{y=0} = -\frac{1}{4} \int_0^\infty \gamma [(1 - \lambda)^2 + 2(2 + \mu_2)]^{-1} \psi'(y) dy, \tag{25}$$

where to obtain (25) we have integrated by parts. Appendix B discusses some of the details of calculating this integral.

We will also be interested in another observable. Unlike in the case of an unconfined Taylor swimmer in a Newtonian fluid...
Oldroyd-B fluid at zero Reynolds number,\(^8\) there is a net flux of fluid pumped by a swimmer in a liquid crystal. In the lab frame, the average flux is given by

\[
Q = \int_{y_1}^{y_2} (v_x - U) dy \approx \int_{0}^{\infty} \left( \langle v_x^2 \rangle - U \right) dy = -\left( y_v v_x^{(1)} \right)|_{y=0}. \quad (26)
\]

Note that the second term of eqn (26) vanishes for a transverse wave since \(v_x^{(1)}|_{y=0} = 0\). (The second term also vanishes for a longitudinal wave, since \(y_v = 0\) — see Appendix C.) Therefore, the flux is also given to second-order accuracy by

\[
Q^{(2)} = \int_{0}^{\infty} \left( \langle v_x^2 \rangle - U \right) dy. \quad (27)
\]

Note our sign convention: a positive \(U\) corresponds to swimming towards the left, opposite the direction of wave propagation (see Fig. 1f), while a positive \(Q\) corresponds to fluid swept to the right, along the direction of wave propagation.

3 Results

3.1 Dependence on Ericksen number

For general Ericksen number the solutions of the governing equations to second order do not result in elegant expressions, but the swimming speed and flux are readily found and plotted. The method of solution is described in Appendix B. In the following, we use material parameters that closely mirror the properties of disodium cromolyn glycate (DSCG), in which experiments on swimmers in liquid crystals have been performed.\(^{26,29,30,50}\) We choose \(\mu_1 = \mu_2 = 1\), \(K_t = 1.2\), and study the swimming speed as a function of Ericksen number \(E\), anchoring strength \(\omega\), rotational viscosity \(\gamma^*\), and tumbling parameter \(\lambda\).

Fig. 2 shows the swimming speed as a function of \(E = \tau \omega\) [recall \(\tau = \mu/[K_d q^2]\)] and \(\gamma^*/\mu = 5\), 25, and 50. The range of \(\gamma^*/\mu\) is between \(\approx 5\) and \(\approx 50\) for DSCG.\(^{50}\) Observe that when the anchoring strength is weak, the swimming speed decreases with \(E\). This behavior was also seen in the case of a swimmer in a nematic liquid crystal.\(^{43}\) When the anchoring strength is strong, \(w \ll 5\), the swimming speed is weakly dependent on Ericksen number, becoming independent of Ericksen number for large rotational viscosity (Fig. 2, right panel). This weak dependence on \(E\) is suggested by the fact that the rotational viscosity enters the governing equations in the combination \((\gamma^*/\mu)^{-1}\) (eqn (9), (18) and (20)). However, when the rotation viscosity is in the low range for DSCG, \(\gamma^*/\mu = 5\), the swimming speed increases with Ericksen number when the anchoring strength is moderately strong, \(w = 5\) (Fig. 2, left panel): when anchoring is important, the swimming speed increases when viscous effects dominate as long as the rotational viscosity is sufficiently low. The increase in swimming speed with \(E\) at strong anchoring and modest \(\gamma^*\) is not seen in the hexatic liquid crystal.\(^{43}\)

All three panels of Fig. 2 indicate that the swimming speed becomes independent of anchoring strength when the Ericksen number is sufficiently large. Once again, because the rotational viscosity enters always in the combination \((\gamma^*/\mu)^{-1}\), the value of \(E\) for which the anchoring strength becomes irrelevant is inversely proportional to the rotational viscosity. In contrast with the case of a transverse-wave swimmer in a hexatic liquid crystal, the swimming speed has a weak but noticeable dependence on Ericksen number when the anchoring strength is strong and the rotational viscosity is not too large (Fig. 2, left panel). But because this dependence is weak we can say that the large \(E\) limit is the same as the strong anchoring strength limit. Note that the large Ericksen number limit is reached at relatively small values of the Ericksen number; in all the panels of Fig. 2, the large \(E\) asymptotic value is reached or nearly reached when \(E = 1\).

As in the case of a hexatic liquid crystal,\(^{43}\) the large \(E\) limit is singular, since terms with the highest derivatives in the governing equations vanish in this limit [see eqn (8), (9), (17) and (18)]. When elastic stresses are small compared with the viscous stresses, it is natural to set the Ericksen number to infinity, or equivalently, drop all terms involving the Frank elastic constants. The resulting limiting model is known as Ericksen’s transversely isotropic fluid.\(^{43}\) However, this limit is singular, and therefore Ericksen’s transversely isotropic fluid does not give physical results for the swimming speed. In particular, Ericksen’s transversely isotropic fluid would incorrectly predict that the swimming speed is independent of rotational viscosity at large Ericksen number. Examining the right end of each of the panels in Fig. 2 shows that speed depends on \(\gamma\) at large \(E\); we now turn our attention to this dependence.

3.2 Dependence on rotational viscosity \(\gamma^*\)

Fig. 3 shows the swimming speed as a function of dimensionless rotational viscosity \(\gamma = \gamma^*/\mu\) for various Ericksen numbers and anchoring strengths for a nematic liquid crystal. First note

![Fig. 2](image-url) Dimensionless swimming speed \(U^*/(\tau c e^2)\) vs. \(E = \tau \omega\) for a swimmer in a nematic liquid crystal with \(\mu_1 = \mu_2 = 1\), \(K_t = 1.2\), and \(\lambda = 0.6\), with anchoring strengths \(w = 0\) (blue), \(w = 0.1\) (red), \(w = 1\) (green), and \(w = 5\) (brown). The rotational viscosity \(\gamma^*/\mu\) is 5 (left), 25 (center), and 50 (right). The horizontal axis is rescaled in each plot for enhanced resolution.
that in accord with discussion of Fig. 2, the swimming speed becomes independent of anchoring strength for large Er. The middle panel of Fig. 3 shows that the dependence on anchoring strength is very weak even for Er = 1 (which of course can also be observed from Fig. 2). Secondly, the swimmer can reverse direction. When \( \gamma/\mu \) is large enough, \( U^* \) becomes negative, meaning the swimmer swims in the same direction as its propagating wave. These qualitative features were also observed in the model for a swimmer in a hexatic liquid crystal.\(^{43}\) However, Fig. 3 also reveals important differences between swimming in a hexatic and a nematic liquid crystal. First, the hexatic swimming speed is always bounded by the isotropic Newtonian swimming speed,\(^{43}\) \( |U^*| < \alpha c^2/2 \), whereas the nematic swimming speed can be greater than the Newtonian speed. Second, there is a maximum in the swimming speed as a function of rotational viscosity, as long as the anchoring strength is low enough. The maximum is most apparent at small Er, and is in the region of measured rotational viscosities for DSCG (Fig. 3, left panel). The maximum is less apparent at higher Ericksen numbers since in that regime, the \( \gamma \to 0 \) limit of the speed is only slightly smaller than the value of the maximum speed.

Note also that the swimming speed depends on the anchoring strength in the limit of low rotational viscosity. When \( \gamma \to 0 \), there is a decoupling between the flow field and the director field because the molecular field \( \mathbf{h} \) vanishes in this limit. In the problem of swimming in a hexatic liquid crystal,\(^{43}\) this decoupling is complete, and the swimming speed is the isotropic Newtonian swimming speed\(^3\) \( U^* = \alpha c^2/(2q) \) when \( \gamma \to 0 \). However, the decoupling is only partial in the case of a nematic liquid crystal, since in that case the anisotropic terms in the viscous stress depend on the director configuration even when \( \mathbf{h} = 0 \). When \( \mathbf{h} = 0 \), the director field at each instant is in equilibrium, and since this equilibrium configuration depends on the anchoring strength, the stress and ultimately the swimming speed depend on the anchoring strength. In particular, the swimming speed does not go to the isotropic swimming speed when \( \gamma = 0 \) in a nematic liquid crystal.

It is interesting to plot the swimming speed in physical units to make the dependence on beat frequency \( \omega \) more apparent (Fig. 4). When the anchoring strength vanishes and \( \gamma^*/\mu \) is in the experimental range of 10 and 100 for DSCG,\(^{48}\) the swimming speed depends only weakly on the beat frequency \( \omega \); all four curves in Fig. 4 cross in this region.

3.3 Dependence on tumbling parameter \( \lambda \)

Fig. 5 shows how the swimming speed depends on the tumbling parameter \( \lambda \) for various Ericksen numbers. For all Ericksen numbers we find a peak near \( \lambda = 1 \), which marks the transition between tumbling and shear-aligning nematic liquid crystals.\(^{45}\) The maximum is at \( \lambda = 1 \) for moderate to high Er, and shifts to slightly higher \( \lambda \) when the Ericksen number becomes small.
As mentioned earlier, the general expressions for speed and flux are too complicated to display. However, there is a relatively compact expression of the swimming speed in the limit of large Ericksen number, which we find by expanding the first-order solutions of (10)-(16) in a Taylor series in $Er^{-1}$, and then inserting these values into (17)-(25) to find

$$U = c\gamma^2 (2 + \mu_1(1 + \lambda) + \mu_2) - \gamma(1 + \lambda)(\lambda - 1)^2$$

$$+ c\left(1 + \frac{1}{Er}\right).$$

(28)

This expression confirms our general observation that the large-Ericksen number behavior is independent of the anchoring strength $w$. It also shows that when the Ericksen number is large, the swimming speed becomes independent of the rotational viscosity when $\lambda = 1$. The speed and flux as a function of tumbling parameter for various rotational viscosities are plotted in Fig. 6. Note again that although (28) and Fig. 6 are appropriate for large $Er$, they are applicable even to the modest Ericksen numbers describing experimental systems, of size 1–10, since the swimming speed reaches its high Er limit at a low value of Er. We do not have an explanation for why the swimming speed becomes independent of rotational viscosity when $\lambda = 1$, but we offer the following remarks. First, as mentioned previously, the transition from tumbling to shear-aligning nematic liquid crystals occurs when $\lambda = 1$. Second, the governing equations lose some of the highest derivative terms when $\lambda = 1$, indicating singular behavior and the existence of boundary layers near the swimmer that are thin in the $y$ direction. And finally, examination of the first order solutions for the angle field in this limit reveal that the angle field simultaneously obeys the strong anchoring and no-anchoring boundary conditions; in other words, the directors align exactly tangential to the swimmer surface, but experience no torque.

3.4 Swimming and pumping using backflow

To highlight the role of the nematic degree of freedom in our problem, we study swimming and pumping via a mechanism in...
which all flow is generated by a prescribed motion of the directors at a flat non-deformable wall. The coupling of the motion of the directors to the flow, and vice-versa, is known as backflow. We suppose that some external mechanism oscillates the directors along the wall with the form of a traveling wave with wavenumber $q$ and frequency $\omega$, such that the (dimensionless) boundary conditions at the wall are

$$v|_{y=0} = 0$$

$$\theta|_{y=0} = e^{i(qx-\omega t)}.$$  

Thus, the director configuration rather than the shape is prescribed. For brevity, we call the swimmer with prescribed director configuration a ‘non-deformable’ swimmer, and the swimmer with prescribed shape a ‘deformable’ swimmer.

The swimming speed as a function of Ericksen number is shown in Fig. 8. A qualitative difference with a deformable swimmer is that the direction does not reverse when the rotational viscosity is large; in fact, increasing the rotational viscosity makes the swimmer go faster, as long as the Ericksen number is not too large. Given that the large Ericksen number limit is singular, we expect a boundary layer in the velocity field and angle field when Er is large. In the problem of a deformable swimmer, we found that the swimming speed in that limit is governed by the strong anchoring condition. Since the strong anchoring condition in this case would correspond to no motion of the directors at the swimmer surface, we expect the speed to vanish as Er increases, as our calculations show (Fig. 8). Note also that when $\gamma = 0$, there is a complete decoupling between the flow field and director field problems, and the swimming speed vanishes.

Fig. 9 shows the $\lambda$-dependence of locomotion and pumping for the non-deformable swimmer. The swimmer can swim faster than the Taylor swimmer when $\lambda \approx 1$ and the rotational viscosity is sufficiently large. Note that the behavior of the swimming speed is qualitatively similar to that induced by a swimmer with a deformable body (Fig. 7). The flux induced by the motion of the directors in the non-deformable swimmer (Fig. 9) is comparable to the flux induced by a deformable swimmer, indicating that at low Ericksen number much of the flux is driven by the backflow effect.

4 Discussion and conclusion

Because the nematic phase is more anisotropic than the hexatic phase, more parameters are required in its constitutive relation. In particular, there are anisotropic viscosities as well as different elastic moduli for bend and splay (and twist) director configurations. The tumbling parameter $\lambda$ also leads to further distinctions, such as tumbling and shear-aligning, which do not exist in the hexatic. Therefore, the hexatic model is good quantitative approximation for swimming in a nematic when the magnitudes of $\mu_1$, $\mu_2$, $\lambda$, and $K_1/K_3 - 1$ are small. Except for $K_1/K_3 - 1$, these parameters are usually not small for the nematic phase.

To further highlight the quantitative difference in this regime, Fig. 10 shows the difference in speeds between a highly calamitic nematic fluid near the aligning transition, and a hexatic for small values of the Ericksen number and a range of rotational viscosities. For these parameters, the swimmer in the nematic fluid can travel at much greater speeds than its companion in a hexatic fluid.

In this work we extended Taylor’s model of an undulating sheet locomoting by means of small-amplitude traveling waves in a Newtonian fluid to the case where the ambient fluid is a...
Appendix A: hexatic equations

For comparison purposes, we include here the governing equations for the hexatic phase:

\[
\frac{\partial \theta + v \cdot \nabla \theta - \frac{1}{2} \mathbf{x} \cdot \nabla \times \mathbf{v}}{\gamma} = \frac{K}{\gamma} \nabla^2 \theta.
\]  
(32)

Appendix B: details of calculating the swimming speed

The calculation of the swimming speed, which enters at \(O(a^2)\), depends on a cumbersome but straight-forward combination of the first-order flow and director fields via (2.4). The real part of the first order stream-function in (10) may be written as

\[
\Re[\psi^{(1)}] = \frac{1}{2} (\psi^{(1)} + \overline{\psi^{(1)}}),
\]  
(33)

where the overbar denotes the complex conjugate. The director angle field is similarly treated. In (19) and (20) we require such quantities as \(\partial_t \theta_{yy}\), which we obtain via

\[
\theta, \theta_{yy} = \frac{3}{4} \sum_{j,k=1}^3 \left( d_j \tilde{d}_k \epsilon^{(j+k)} \epsilon^{(j+k)} - d_j \tilde{d}_k \epsilon^{(j+k)} \epsilon^{(j+k)} \right).
\]  
(34)

The horizontal mean over one period is then given by

\[
I_1 = \langle \theta_t, \theta_{yy} \rangle = \frac{3}{4} \sum_{j,k=1}^3 \left( d_j \tilde{d}_k \epsilon^{(j+k)} \epsilon^{(j+k)} - d_j \tilde{d}_k \epsilon^{(j+k)} \epsilon^{(j+k)} \right).
\]  
(35)

The final integration in (25) is now easily performed; for example, we have

\[
\int_0^\infty yI_1 \, dy = \frac{3}{4} \sum_{j,k=1}^3 \left[ \frac{d_j \tilde{d}_k \epsilon^{(j+k)} \epsilon^{(j+k)}}{(r_j + r_k)} - \frac{d_j \tilde{d}_k \epsilon^{(j+k)} \epsilon^{(j+k)}}{(r_j + r_k)} \right],
\]  
(36)

and the other contributions are deduced in the same fashion. The end result is a cumbersome algebraic expression but one that is easily evaluated for all parameter values.

Appendix C: swimmer with a longitudinal wave

For completeness, we also present some results for a swimmer with a longitudinal waveform,

\[
(X, Y) = (a, 0) \sin(x - t),
\]  
(37)

in dimensionless form. Many of the equations needed for calculating the speed and flux are the same as in the case of the transverse swimmer. Here we list the equations that must be modified. The boundary conditions at first order in amplitude \(\epsilon\) for the longitudinal swimmer are

\[
\partial_\tau \tilde{\psi}^{(1)}|_{\tau = 0} = -\epsilon \psi^{(1)} e^{(x - t)},
\]  
(38)

\[
-\partial_\tau \tilde{\theta}^{(1)}|_{\tau = 0} = 0,
\]  
(39)

\[
-\partial_\tau \tilde{\theta}^{(1)} + \omega \tilde{\psi}^{(1)}|_{\tau = 0} = \ell.
\]  
(40)
parameters, but the swimming velocity at high Ericksen number is sufficiently high. There is no simple transverse case, the swimming direction can reverse if the liquid, where the swimming speed is

\[ \gamma / \mu = 50 \]

\[ \gamma / \mu = 20 \]

\[ \gamma / \mu = 5 \]

\[ \alpha = 1.2, \gamma = 0.6, \] and zero anchoring strength.

The boundary condition for the flow field at second order is

\[ \psi_1^{(2)} \bigg|_{y=0} = -\left( X\psi_1^{(1)} \right) \bigg|_{y=0} \]  

with \( X \) given by (37). The anchoring condition remains the same as eqn (22), with the replacement of \( \Xi \) [eqn (23)] with

\[ \Xi = (\chi \partial_x \partial_y \theta^{(1)}) - wX \partial_y \theta^{(1)} \bigg|_{y=0}. \]

The swimming speed vs. Ericksen number is shown in Fig. 11. For most values of Er and rotational viscosity, the swimming speed is negative, meaning the swimmer moves in the same direction as the propagating longitudinal wave, just as in the case of a longitudinal swimmer in an isotropic Newtonian liquid, where the swimming speed is \( U^* = -c/c^2/2 \). As in the transverse case, the swimming direction can reverse if the rotational viscosity is sufficiently high. There is no simple formula for the swimming speed for generic values of the parameters, but the swimming velocity at high Ericksen number takes a simple form, with the speed exactly as the transverse case but with opposite direction:

\[ U = -c^2/2 [\mu_1 (1 + \lambda) + \mu_2] - \gamma (1 + \lambda)(\lambda - 1)^2 \\
+ c \left( \frac{1}{\alpha} \right). \]

The entrained flux in this limit is likewise of same magnitude but opposite direction.

The longitudinal swimmer in a nematic is slower than the swimmer's speed differs from the isotropic speed by only a few percent. \(^43\) Fig. 11 shows that the difference between the isotropic and nematic swimming speed can be significant, especially at higher values of rotational viscosity.

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