HEEGNER ZEROS OF THETA FUNCTIONS
(TRANS. AMS. 355 (2003), NO. 10, 4137–4149 )

Jorge Jimenez-Urroz and Tonghai Yang

Abstract. Heegner divisors play an important role in number theory. However little is known on whether a modular form has Heegner zeros. In this paper, we start to study this question for a family of classical theta functions, and prove a quantitative result, which roughly says that many of these theta functions have a Heegner zero of discriminant $-7$. This leads to some interesting questions on the arithmetic of certain elliptic curves, which we also address here.

0. Introduction.

Let $N \geq 1$ be an integer and let $f$ be a non-zero meromorphic modular form of level $N$ with algebraic Fourier coefficients. Then $f$ can be viewed as a (meromorphic) section of a line bundle on the modular curve $X_0(N)$ and thus its zeros and poles give a divisor in $X_0(N)$ which is algebraic. These important divisors appear in the beautiful works of Rohrlich ([R]) on Jensen’s formula and more recently of Bruinier, Kohnen, and Ono ([B-K-O]) on the values of modular functions. However, if we let $\tau$ be a zero or a pole of $f$ on the upper half plane $\mathbb{H}$, then it is well-known that $\tau$ is either quadratic (Heegner point) or transcendental. So it is very interesting to isolate and understand the Heegner zeros/poles of $f$. We recall that a Heegner point on $X_0(N)$ of discriminant $-D$ is represented by a quadratic number $\tau = \frac{b+\sqrt{-D}}{2aN}$ with integers $a > 0$ and $b$.

Although Heegner points play very important roles in many branches of number theory, such as the Gross-Zagier formula, Kolyvagin’s Euler system, and the Borcherds product theory, to name a few, little is known about the Heegner zeros of modular forms.

1991 Mathematics Subject Classification. 11G05 11M20 14H52.
Key words and phrases. theta functions, elliptic curves, Heegner points.

The first author Partially supported by PB90-0179 and Ramon y Cajal program of MCYT. The second author is partially supported by NSF grant DMS-0070476.

Typeset by \texttt{AM\$\textsc{s-TeX}}
In this paper, we study the Heegner zeros for a family of classical theta functions

\begin{equation}
\theta_d(z) = \sum_{(x,d)=1} \left( \frac{d}{x} \right) e(x^2 z),
\end{equation}

where \( d \equiv 1 \mod 4 \) is a square-free integer and \( e(z) = e^{2\pi i z} \). It is a modular form for \( \Gamma_0(4d^2) \) of weight \( \frac{1}{2} \).

When \( d = 1 \), the classical theta function has no zeros in the upper half plane. When \( d = 5 \), it is proved in [Y, Proposition 3.8] that \( \theta_5(z) \) does not vanish at any Heegner points of \( X_0(100) \) of any fundamental discriminant. In general, for a fixed \( d \), there are obviously only finitely many \( D \) such that \( \theta_d \) vanishes at a Heegner point of \( X_0(4d^2) \) of discriminant \(-D\).

On the other hand, for a fixed \( D \) one may ask if there are infinitely many twisted theta function \( \theta_d(z) \) vanishing at a Heegner point of \( X_0(4d^2) \) of discriminant \(-D\). We first note that \( X_0(4d^2) \) has a Heegner point of discriminant \(-D\) if and only if every prime factor of \( 2d \) splits in \( K_D = \mathbb{Q}(\sqrt{-D}) \) and so one has to have \( D \equiv 7 \mod 8 \). In this paper we will settle the case where \( D = 7 \).

**Theorem 0.1.** Let \( N(X) \) be the set of positive square free integers \( d \equiv 1 \mod 4, d \leq X \) such that every prime factor of \( d \) splits in \( \mathbb{Q}(\sqrt{-7}) \) and that the Heegner point \( \tau_d \) of \( X_0(4d^2) \) with discriminant \(-7\) is a zero of \( \theta_d \). Then

\[ |N(X)| \gg X^{1/3}/\log X. \]

The proof is based on the relation given by F. Rodriguez Villegas and T. Yang in [RV-Y] between Heegner zeros of twisted theta functions \( \theta_d(z) \) and the arithmetic of a precise family of CM elliptic curves \( A(D) \) constructed by B. Gross in [G1]. (See section 3 for a brief summary). This relation allows us to restate the problem in terms of zeros of Hasse-Weil \( L \)-functions. In particular, let \( A(D) \) be the elliptic curve constructed in [G1]. For any \( d > 1 \) let \( A(D)^d \) be the \( d \)-quadratic twist of \( A(D) \), and let \( L(s, A(D)^d) \) be its Hasse-Weil \( L \)-function over its definition field \( F_D = \mathbb{Q}(j) \) with \( j = j(1+\sqrt{-D}/2) \). Corollary 3.5 in [RV-Y] is the following

**Theorem A.** Assume \( d > 1 \) and \( D \equiv 7 \mod 8 \). If all the prime factors of \( d \) split in \( K_D \), then the following are equivalent.

i) The theta function \( \theta_d \) vanishes at one (and all) the Heegner points of \( X_0(4d^2) \) with discriminant \(-D\).

ii) \( L(1, A(D)^d) = 0 \).

On the other hand, a celebrated theorem of Kolyvagin and Logachev ([KL]) gives in our case that \( L(1, A(D)^d) = 0 \) whenever \( A(D)^d \) has positive rank. Therefore, the proof of Theorem 0.1 is reduced to the following theorem.
Theorem 0.2. Let $N(X)$ be the set of positive square free integers $d \equiv 1 \pmod{4}$, $d \leq X$ such that $A(7)^d(\mathbb{Q})$ has a point of infinite order, and that every prime factor of $d$ splits in $\mathbb{Q}(\sqrt{-7})$. Then

$$|N(X)| \gg X^{1/3}/\log X.$$ 

This kind of problem has already been considered by many authors ([G-M], [S-T],[ J]), where different lower bounds are given on the number of $d$ such that the quadratic twist $E^d(\mathbb{Q})$ has positive rank for any elliptic curve $E$ over $\mathbb{Q}$. We will now use this type of technique for $A(7)^d$ with the extra condition that every prime factor of $d$ is split in $\mathbb{Q}(\sqrt{-7})$. We will use polynomial twists $d = d(t)$ which arise naturally from the Weierstrass equation of the elliptic curve.

A Weierstrass equation for $A(7)$ is already given by B. Gross in [G2]

$$A(7) : y^2 + xy = x^3 - x^2 - 2x - 1.$$ 

In fact in [G2] a minimal model (a Weierstrass equation with minimal discriminant) is given for any $A(D)$ whenever $D = p$ is a prime, although in general it is defined over the number field $F_D$. However, there is no known minimal model for $A(D)$ for composite $D$. This raises two interesting questions in order to extend Theorem 0.1 for a general discriminant $-D$. First of all

**Question 0.3** Is there always a minimal model of $A(D)$ for composite $D$? How to construct it if it exists?

A constructive answer to these questions is expected when $D$ is relatively prime to 6. Furthermore, for a fixed $D$ we need pairs $(d, P)$ where $d$ is rational and $P$ is of infinite order in $A(D)$ for composite $D$. This raises two interesting questions in order to extend Theorem 0.1 for a general discriminant $-D$. First of all

**Question 0.4** Given $D > 7$, are there infinitely many square free integers $d > 0$ prime to $D$ such that $A(D)^d(F_D)$ is infinite?

More generally, given an elliptic curve $E$ over a number field $F$ which does not descend to $\mathbb{Q}$, are there always infinitely many non-equivalent rational quadratic twists $E^d$ which has an $F$-point of infinite order, subject to some root number condition?

We will give an answer to both questions for $D = 15$ in section 3.

Another natural way to extend Theorem 0.1 is to study the arithmetic of $d$. In particular, one may ask the following

**Question 0.5** Are there infinitely many primes $p$ such that $\theta_p$ vanishes at a Heegner point of $X_0(4p^2)$ of discriminant $-7$?

An affirmative answer would follow from a general conjecture about the rank of prime twists (see [J]). In section 2, using a weighted sieve inequality in a similar way as in [J], we will prove the following theorem. Let us write $d = P_r$ if the number of primes dividing $d$, counting multiplicities, is bounded by $r$. 

3
Theorem 0.6. Let $N_r(X)$ be the $P_r$ elements in $N(X)$. Then

$$|N_6(X)| \gg X^{1/3}/\log^2 X.$$  

Acknowledgment We thank Ken Ono and D. Rohrlich for their help and suggestions during the preparation of this paper. We thank M. Stoll for his help on the proof of Proposition 3.1 with MAGMA. We thank J. Gonzalez, H. Diamond, and the referee for carefully reading an early version of this paper and for their numerous valuable comments.

1. Proof of Theorem 0.2.

To clarify the exposition let us make the following definition:

Definition. A positive integer $d$ is “good” if $d \equiv 1 \pmod{4}$ is squarefree with only prime factors splitting in $\mathbb{Q}(\sqrt{-7})$ and such that $A(7)^d(\mathbb{Q})$ has a point of infinite order.

For convenience, let $E = A(7)$ be as in (0.2). By change of variables $16x \mapsto 28x + 1$ and $64y \mapsto y + 56x + 2$ in (0.2), we find

$$E : \quad y^2 = (28x - 31)((28x + 11)^2 + 28) = p(x)F(x).$$

(1.1)

For any integer $t > 1$ let us write $p(t)F(t) = d(t)B(t)^2$ for $d(t)$ squarefree and $B(t)$ a positive integer. We will consider the twist $E^{d(t)}$ together with the point $(t, B(t)) \in E^{d(t)}(\mathbb{Q})$. For these twists we have

Lemma 1.1. Let the notation be as above. If $p(t) = 28t - 31$ is prime, then $d(t)$ is good and the root number of $E^{d(t)}$ is $+1$, with at most a finite number of exceptions.

Proof. Clearly $p(t) \equiv F(t) \equiv 1 \pmod{4}$. So $B(t)$ is odd and $B(t)^2 \equiv 1 \pmod{4}$, and thus $d(t) \equiv 1 \pmod{4}$. Next, for every prime $l|d(t)$, either $l = p(t)$ or $F(t) \equiv 0 \pmod{l}$. When $l = p(t)$, one has $(\frac{1}{7}) = (\frac{-31}{7}) = 1$, and so $l$ is split in $\mathbb{Q}(\sqrt{-7})$. When

$$F(t) = (28t + 11)^2 + 28 \equiv 0 \pmod{l},$$

one sees that $-7$ is a square modulo $l$. So $l$ is again split in $\mathbb{Q}(\sqrt{-7})$, and thus every prime factor of $d(t)$ is split in $\mathbb{Q}(\sqrt{-7})$.

On the other hand, in [G-M] the authors proved that for all but finitely many $t$, the point $(t, B(t))$ of $E^{d(t)}(\mathbb{Q})$ has infinite order. Finally, $d(t) > 0$ implies that $E^{d(t)}$ has root number 1, (see [G1, Cor 19.2.8]).
Lemma 1.2. Let the notation be as above. For any integer $d$, let $P(d)$ be the set of primes $p = 28t - 31 \in (T^{1/2}, T)$ such that $d(t) = d$. Then $|P(d)| \leq 5$ for $T \gg 1$.

Proof. For $0 \leq i \leq r$, let $t_i$ be such that $p(t_i) \in P(d)$. Noting that

$$F(x) = (28x + 53)(28x - 31) + 2^8 \times 7,$$

we see that $(F(t), p(t)) = 1$ for any integer $t$. In particular it immediately follows that $p(t_i)|d$ for $0 \leq i \leq r$. Hence,

$$F(t_0) = B(t_0)^2(d/p(t_0)) = B(t_0)^2 \prod_{i=0}^{r} p(t_i) \geq B(t_0)^2 \prod_{i=1}^{r} p(t_i) \geq T^r/2,$$

since $p > T^{1/2}$ for any $p \in P(d)$.

On the other hand $t_0 \leq T$, so $F(t_0) \ll T^2$ and thus $r \leq 4$, which completes the proof of the lemma.

Proof of Theorem 0.2: For $T \gg 1$, let $X = p(T)F(T) \simeq T^3$. Lemmas 1.1 and 1.2 allow us to establish the lower bound

$$|N(X)| \gg |\{T^{1/2} < p < T : p \equiv -31 \pmod{28} \text{ is prime}\}|.$$

Theorem 0.2 now follows easily from the Prime Number Theorem in arithmetic progression.

Remark 1.3. The proof of Theorem 0.2 explicitly constructs a twist $d$ and a point of infinite order in $A(7)^d(\mathbb{Q})$. In practice, it is possible to take $p(t)$ to be composite. For example, choosing $t = 2$, we find $p(t) = 5^2$ and direct computation using the same procedure gives that $A(7)^{4517}$ has the point $(57/16, 119/64)$ of infinite order. Thus $L(1, \chi_7, 4517) = 0$ and so $\theta_{4517}$ vanishes at the Heegner point of $X_0(4 \cdot 4517^2)$ with discriminant $-7$. Incidentally, the smallest quadratic twist of $A(7)$ to have a point of infinite order is $A(7)^{53}$.

2. Proof of Theorem 0.6.

To prove Theorem 0.6 we will bound the number of prime factors of the good integers found in Theorem 0.2. For this purpose we will use a linear weighted sieve inequality for the polynomial $p(x)F(x)$ defined in the previous section.

In particular we will use a direct application of Theorem 9.3 in [H-R, page 253]. For completeness, we include the hypotheses and state a particular case of this theorem which we shall refer to as Theorem T1.
Let \( X \gg 1 \), and consider a set \( A \) of integers in \([1, X]\). We denote \( A_d = \{a \in A : d|a\} \). Suppose that for any square-free \( d \) we can write

\[
|A_d| = X \frac{\omega(d)}{d} + R_d
\]

for some multiplicative function \( \omega(d) \) and a function \( R_d \), satisfying the following conditions:

\[(\Omega_1) \quad 1 \leq \frac{1}{1 - \omega(p)/p} \leq C_1, \text{ for any prime } p, \]

\[(\Omega_2(1)) \quad -C_2 \log \log 3X \leq \sum_{v \leq p < w} \frac{\omega(p)}{p} \log p - \log \frac{w}{v} \leq C_2, \quad 2 \leq v \leq w, \]

\[(\Omega_3) \quad \sum_{z \leq p < y} |A_p^2| \leq C_3 \left( \frac{X \log X}{z} + y \right), \quad 2 \leq z \leq y, \]

\[(R(1, \alpha)) \quad \sum_{d < X^\alpha/(\log X)^C_4} \mu^2(d) 3^{\nu(d)} |R_d| \leq C_5 \frac{X}{\log^g X}, \quad X \geq 2, \]

for absolute constants \( C_1, C_2, C_3, C_4, C_5 \) and \( \alpha > 0 \). Then we have

**Theorem T1.** Let \( A \) be a set satisfying the above conditions \((2.0), (\Omega_1), (\Omega_2(1)), (\Omega_3), \) and \((R(1, \alpha))\). Assume further that \( |a| < X^\alpha(r-1)+\varepsilon \) for all \( a \in A \) and some \( \varepsilon > 0 \). Then there exists a constant \( X_0(r) > 0 \) such that

\[
|\{a \in A : a = P_r\}| \geq \frac{1}{7\alpha} \prod_p \frac{1 - \omega(p)/p}{1 - 1/p} \frac{X}{\log X},
\]

for \( X \geq X_0(r) \). Here \( a = P_r \) whenever \( a \) has at most \( r \) prime factors, counting multiplicity, as in Theorem 0.6.

Let \( p(x) \) and \( F(x) \) be defined as in the previous section. In order to prove Theorem 0.6 for a given large \( X \), we have to apply Theorem T1 to the sequence \( \{p(t)F(t) < X : p(t) \text{ prime}\} \). This can be written as

\[
\{pG(p) < X : p \equiv -31 \pmod{28}\},
\]

where \( G(x) = F(((x + 31)/28)) = x^2 + 84x + 1792 \).
We will deduce Theorem 0.6 from the application of Theorem T1 to the general sequence of polynomials evaluated at primes

\[(2.2) \quad A = A(f, k, l) = \{ f(p) : p \leq x \text{ prime}, p \equiv l \pmod{k} \},\]

for a pair of fixed integers \((k, l) = 1\) and an irreducible polynomial \(f(x) \in \mathbb{Z}[x]\). Hence, our first goal is to verify that \((2.2)\) satisfies the hypotheses of Theorem T1.

The sequence in \((2.2)\), given as Example 6 in [H-R, page 22], is a generalization of that in Theorem 9.8 [H-R, page 261] with the additional condition that the primes are in a certain congruence class. As in [H-R], to verify that the sequence \((2.2)\) satisfies the conditions in Theorem T1, we first prove a series of technical lemmas.

For any given integer \(q\), let

\[E(x, q) = \max_{2 \leq y \leq x} \max_{1 \leq h \leq q \,(h, q) = 1} \left| \pi(y; h, q) - \frac{\text{li}(y)}{\varphi(q)} \right|,\]

where \(\pi(y; h, q)\) is the number of primes congruent to \(h\) modulo \(q\) and less than \(y\), and

\[\text{li}(y) = \int_{2}^{y} \frac{dt}{\log t}\]

is the usual logarithmic integral function \(\text{li}\) which is asymptotic to \(\frac{y}{\log y}\) as \(y\) goes to infinity.

**Lemma 2.1.** Let \(h, k\) be positive integers, and suppose \(k \leq \log^{c} x\). Given any positive constant \(U_1\) there exists a positive constant \(C_1 = C_1(h, c, U_1)\) such that

\[
\sum_{d < x^{1/2}/k \log^{C_1} x} \mu^2(d) h^{\nu(d)} E(x, [k, d]) = O_{h,c,U_1} \left( \frac{x}{\varphi(k) \log^{U_1} x} \right),
\]

where \(\mu(d)\) is the M"obius function, \(\varphi(d)\) is the Euler function and \(\nu(d)\) counts the number of prime factor of \(d\).

**Proof.** The proof is the argument of Lemma 3.5 of [H-R, page 115], replacing \(E(x, kd)\) by \(E(x, [k, d])\) and noting that since \([k, d] \leq kd\), we have

\[
\sum_{d < x^{1/2}/k \log^{C_1} x} E(x, [k, d]) \leq \sum_{d < x^{1/2}/\log^{C_1} x} E(x, d).
\]

We now introduce some notation. Let \(f(x), k\) and \(l\) be as in \((2.2)\). For a squarefree integer \(d\), let \(D = (d, k)\), and

\[\rho_{k,l}(d) = \left\{ \begin{array}{ll} \{1 \leq m \leq d/D, (m, d/D) = 1, f(m) \equiv 0 \pmod{d/D} \} & \text{if } D|f(l), \\ 0 & \text{if } D \nmid f(l). \end{array} \right.\]

It is easy to check that, as in Example 6 in [H-R], \(\rho_{k,l}(d)\) is a multiplicative function.
Lemma 2.2. Let \( l \) and \( k \) be relatively prime integers. Let \( f(x) \) be an irreducible polynomial of degree \( g \) with integer coefficients such that \((f(l), k) = 1\). Consider the set \( A = \{ f(p) : p \leq x \text{ prime, } p \equiv l \pmod{k} \} \). Suppose that the function given by \( \rho(d) = |\{1 \leq m \leq d, f(m) \equiv 0 \pmod{d}\}| \) satisfies
\[
\rho(p) \leq p - 1 \quad \text{and} \quad \rho(p) < p - 1 \quad \text{if} \quad p \leq g + 1, \; p \nmid f(l).
\]
Then for any squarefree \( d \), we have the following relations

a) For \( X = \frac{li(x)}{\varphi(k)} \), we have
\[
|A_d| = X \frac{\omega(d)}{d} + R_d,
\]
where \( \omega(d) = \rho_{k,l}(d) \varphi(D) \frac{d}{\varphi(d)} \) is multiplicative and
\[
|R_d| \leq \rho(d) \left( E(x, \lfloor k, d \rfloor) + 1 \right).
\]

b) The functions \( \omega(d) \) and \( R_d \) satisfy conditions \((\Omega_1), (\Omega_2'(1)), (\Omega_3)\) and \((R(1, \alpha))\).

Proof. a) The proof is the argument in examples 5 and 6 in [H-R]. Note that \( \omega(\cdot) \) is multiplicative since \( \rho_{k,l}(\cdot) \) is multiplicative as remarked above.

b) We first verify condition \((\Omega_1)\). If \( p | k \), then \( p \nmid f(l) \) and \( \omega(p) = 0 \). Otherwise,
\[
\omega(p) = \begin{cases} \frac{\rho(p)-1}{p-1} p & \text{if } p | f(l) \\ \frac{\rho(p)}{p-1} p & \text{if } p \nmid f(l) \end{cases}
\]
By (2.3) we have \( \omega(p) \leq (1 - 1/g)p \) whenever \( p \leq g + 1 \). Meanwhile if \( p \geq g + 2 \), then by Lagrange’s Theorem and (2.3) we have \( \rho(p) \leq g \) and so \( \omega(p) \leq \frac{g}{p-1} p \leq (1 - 1/(g + 1))p \), and so \( \Omega_1 \) is satisfied with \( C_1 = g + 1 \).

- Condition \((\Omega_2'(1))\) is a trivial consequence of Nagel’s result [N], (see [H-R, page 18]) \( \sum_{p < x} \rho(p) \log p/p = \log x + O(1) \), and partial summation.

- Now we explain how to guarantee condition \((\Omega_3)\). If \( D \) is the discriminant of \( f \), then it is well known that \( \rho(p^2) \leq gD^2 \) (see [H-W]). Hence,
\[
|A_{p^2}| \leq |\{n \leq x : f(n) \equiv 0 \pmod{p^2}\}| \ll \frac{x}{p^2} + 1 \ll \frac{X \log X}{p^2} + 1,
\]
which trivially gives \((\Omega_3)\).

- Now we verify condition \((R(1, \alpha))\). We have, by Lagrange’s Theorem that \( \rho(p) \leq g \), and so \( \rho(d) \leq g^{\nu(d)} \). Therefore, we have by a)
\[
(2.4) \quad |R_d| < g^{\nu(d)} (E(x, \lfloor k, d \rfloor) + 1).
\]
Lemma 2.3. Under the hypotheses of Lemma 2.2, we have for $x \gg 1$

$$\left\{ p \leq x \text{ prime} : p \equiv l \pmod{k} : f(p) = P_{2g+1}\right\} \geq \frac{2}{7} \prod_{p \mid k} \frac{p}{p-1} \prod_{p \mid f(l)} \frac{1 - \rho(p) - (p - 1)}{1 - 1/p} \prod_{p \mid f(l), p \mid k} \frac{1 - \rho(p)/(p - 1)}{1 - 1/p} \frac{x}{\log^2 x}.$$ 

Proof. Apply Theorem T1 with $\alpha = 1/2$ and $r = 2g + 1$. The result follows then from

$$\frac{\mathrm{li}(x)}{\varphi(k) \log (\mathrm{li}(x)/\varphi(k))} \geq \frac{\mathrm{li}(x)}{\varphi(k) \log \mathrm{li}(x)} \geq \frac{x}{\varphi(k) \log^2 x}.$$ 

Proof of Theorem 0.6

Let $G(x)$ be as in (2.1). In this case we have $G(-31) = 149$, and so (2.3) is trivial for this polynomial. Hence we can apply Lemma 2.3 to the polynomial $G(x)$, $k = 28$, $l = -31$ and $x = X^{1/3}$ to deduce Theorem 0.6.

3. The case $D = 15$

Let $K = \mathbb{Q}(\sqrt{-D})$ be an imaginary quadratic field with discriminant $-D$, and let $H$ be the Hilbert class field of $K$. Gross showed, using the theory of complex multiplication ([G1, Theorem 9.1]), that producing an elliptic curve over $H$ with CM by $\mathcal{O}_K$ is the same as giving its $j$-invariant together with an algebraic Hecke character of $H$ with values in $K$ such that

$$(3.1) \quad \chi(\alpha \mathcal{O}_H) = N_{H/K} \alpha$$

for all $\alpha \equiv 1 \pmod{\mathfrak{M}}$, where $\mathfrak{M}$ is some integral ideal of $H$. The relation between $\chi$ and the elliptic curve $A$ is that

$$\chi(\mathfrak{a}) + \overline{\chi(\mathfrak{a})} = \#k + 1 - \#A(k)$$

for every integral ideal $\mathfrak{a}$ of $H$ prime to $\mathfrak{M}$ (conductor of $A$), where $k$ is the residue field at $\mathfrak{a}$.

When $D \equiv 3 \pmod{4}$, let $\epsilon$ be the quadratic character $\epsilon : (\mathcal{O}_K/\sqrt{-D})^* \cong (\mathbb{Z}/D)^* \longrightarrow \{\pm 1\}$, where the last map is the Dirichlet character $(-D)$. So

$$(3.2) \quad \epsilon_D(\alpha \mathcal{O}_K) = \epsilon(\alpha) \alpha$$

is a well-defined homomorphism from the group of principal ideals of $K$ to $K^*$. Since the norm of ideals of $H$ to $K$ are always principal by class field theory, this gives rise a unique Hecke character $\chi_H = \epsilon_D \circ N_{H/K}$ of $H$ satisfying the condition (3.1). So there is a unique elliptic curve $A(D)$ over $H$ with associated
Hecke character $\chi_H$ and $j$-invariant $j(A(D)) = j\left(\frac{1 + \sqrt{-D}}{2}\right)$. Furthermore, [G1, Theorem 10.2] asserts that $A(D)$ descends to two isogenous elliptic curves over $F = \mathbb{Q}(j)$, which we still denote by $A(D)$. One can distinguish the two elliptic curves by their minimal discriminants as done by Gross in the case where $D$ is a prime. On the other hand, $\epsilon_D$ extends to $h_D$ so-called canonical Hecke characters of $K$, denoted by $\chi_D$. Here $h_D$ is the ideal class number of $K$. Canonical Hecke characters differ from each other by ideal class characters. By the theory of complex multiplication, one sees that

$$L(s, A(D)/F) = L(s, \chi_H) = \prod L(s, \chi_D), \quad L(s, A(D)^d/F) = \prod L(s, \chi_{D,d}),$$

where the product runs over all canonical Hecke characters of $K$, and $\chi_{D,d} = \chi_D\left(\frac{d}{\epsilon}\right) \circ N_{K/F}$ is the quadratic twist of $\chi_D$. We remark that all sides in the above identities are independent of the choices of $A(D)$ or $\chi_D$.

The arithmetic and the L-functions of $A(D)$ and its quadratic twists have been extensively studied by Gross, Rorhlich, Rodriguez-Villegas, and the second author among others. For example, it is now known ([G1], [M-R], [M-Y]) that $A(D)(F)$ has the Mordell-Weil rank 0 or $h_D$ depending on whether $D \equiv 7 \mod 8$ or $3 \mod 8$, and that the Tate-Shafarevich group is finite. When $D$ is a prime number, Gross also determines its torsion group ([G1]) and its minimal model ([G2]). It seems to be of independent interest to extend Gross’s work to general $D$. In this section we deal with the special case $D = 15$, and answer questions 0.3 and 0.4 affirmatively in this case. From now on, let $K = \mathbb{Q}(\sqrt{-15})$, and let

$$(3.3) \quad j = j\left(\frac{1 + \sqrt{-15}}{2}\right) = -\frac{191025 + 85995\sqrt{5}}{2}.$$  

So $F = \mathbb{Q}(j) = \mathbb{Q}(\sqrt{5})$, and $H = \mathbb{Q}(\sqrt{-3}, \sqrt{5})$ is the Hilbert class field of $K$. Let $\epsilon = \frac{1 + \sqrt{5}}{2}$ be a fundamental unit of $F$. Then there are algebraic integers $m, n \in \mathbb{O}_F$ such that ([B, pp 57])

$$m^3 = -\epsilon j \quad \text{and} \quad -3n^2 = j - 1728.$$  

Similarly to [G1, page 80], we set for any nonzero number $c \in F^*$

$$(3.4) \quad E_c : y^2 = x^3 - 9\epsilon mc^2 x + 18\epsilon^2 nc^3.$$  

Then $j(E_c) = j$ and $\Delta(E_c) = -2^{12}3^9\epsilon^4e^6$. Let

$$(3.5) \quad E = E_{12c} : \quad y^2 = x^3 - \frac{1}{16}(15 + 12\sqrt{5})x + \frac{7}{64}(6 + 4\sqrt{5}).$$

Then $\Delta(E) = -3^3\epsilon^{-2}$, and $E_c$ is just the quadratic twist $E_{12c}^c$ of $E$. We mention in passing that the denominators in equation (3.5) are for the purpose of getting the minimal discriminant $3^3\sqrt{F}$ (see Proposition 3.1 below) and can be easily cleared. Indeed, $E$ is isomorphic to

$$(3.5') \quad E = E^4 : \quad y^2 = x^3 - (15 + 12\sqrt{5})x + 7(6 + 4\sqrt{5}),$$

which has integral coefficients.
Proposition 3.1. (1) The CM elliptic curve $E$ has minimal discriminant $3^3\sqrt{5}$ and conductor $3^2\sqrt{5}$. In particular, it has good reduction everywhere outside $3\sqrt{5}$.

(2) $E$ is $F$-isogenous to its Galois conjugate,

$$E': \quad y^2 = x^3 - \frac{1}{16}(15 - 12\sqrt{5})x + \frac{7}{64}(6 - 4\sqrt{5}).$$

(3) The elliptic curve $E$ is $F$-isogenous to the quadratic twist $E^{-3}$. In particular, $E^{-3}$ has minimal discriminant $3^9\sqrt{5}$ and has good reduction everywhere outside 3.

Proof. Direct calculation gives $j(E_c) = j$ and $\Delta(E_c) = -2^{12}3^9\epsilon^4\epsilon^6$. In particular, $\Delta(E) = -3^3\epsilon^{-2}$, and so $E$ has good reduction everywhere outside $6\sqrt{5}$. The substitution

$$x = x_1 - \frac{1}{4}, y = y_1 + \frac{1}{2}x_1 + \frac{\epsilon}{2}$$

gives an integral model of $E$ with the same $\Delta$:

$$E: \quad y_1^2 + x_1y_1 + \epsilon y_1 = x_1^3 - x_1^2 - 2\epsilon x_1 + \epsilon.$$ 

This implies that $E$ has good reduction at 2. So $E$ has good reduction everywhere outside $3\sqrt{5}$. Notice that $E$ has CM and thus its conductor is a square, which divides $\Delta$. So its conductor is $3^2\sqrt{5}$. This proves (1).

To prove (2), we compute the 5th division polynomial of $E$ using the equation (3.5′) and MAGMA. It has a quadratic factor $x^2 - 2\sqrt{5}x + 6\sqrt{5} - 1$. Using the algorithm in [C, page 99], one then finds that $E$ is 5-isogenous to the elliptic curve

$$y^2 = x^3 - 5^2(15 - 12\sqrt{5})x + 5^37(6 - 4\sqrt{5})$$

over $F$, which is isomorphic to $E'$. The same procedure shows that $E'$ is 3-isogenous to $E^{-3}$. This, combined with (2), shows that $E$ is 15-isogenous to $E^{-3}$, proving (3). Incidentally, this isogeny becomes the complex multiplication by $\sqrt{-15}$ over $\mathbb{Q}(\sqrt{-3}, \sqrt{5})$.

Theorem 3.2.

(1) The two elliptic curves $A(15)$ over $F$ are $A(15)_1 = E^{-\sqrt{5}(2+\sqrt{5})}$ and $A(15)_2 = A(15)^{-3}$.

(2) There are infinitely many square-free integers $d$ such that $A(15)^d(F)$ is infinite and such that the functional equation of $L(s, \chi_{15}^d)$ has positive sign. Here $A(15) = A(15)_i$ with $i = 1, 2$.

It is interesting to note that the functional equation for $L(s, A(15)^d)$ has positive sign. However, it is trivially zero at $s = 1$ if the root number
is $-1$. It is also interesting to note that $A(15)$ has a quadratic twist which has good reduction everywhere outside 3, including the prime $\sqrt{5}$ of $\mathbb{Q}(\sqrt{5})$. This can not happen if $D$ is prime to 6.

**Proof.** Let $E_1 = E^{-\sqrt{5}(2+\sqrt{5})}$. Since $-\sqrt{5}(2 + \sqrt{5}) \equiv 1 \mod 4$, the quadratic twist does not induce bad reduction at 2, and so the conductor of $E_1$ is $(3\sqrt{5})^2$. The same proof as in Proposition 3.1 shows that $E_1$ is a CM $\mathbb{Q}$-curve, and thus that the scalar restriction $B = \text{Res}_{F/\mathbb{Q}}E_1$ is a CM abelian variety over $\mathbb{Q}$ with CM by $H$ such that all its complex multiplications are defined over $K$. This implies, by the theory of complex multiplication, that there is an algebraic Hecke character $\chi$ of $K$ with values in $H$ such that

$$L(s, E_1) = L(s, B) = L(s, \chi)L(s, \chi^\sigma)$$

where $\sigma \in \text{Gal}(H/K)$ is nontrivial. Looking at the functional equation of both sides, one sees that the conductor of $\chi$ is $\sqrt{-15}$, the same as that of $\chi_{15}$. So $\phi = \chi\chi_{15}$ is a Hecke character of $K$ of finite order and with good reduction everywhere. This means that $\phi$ is an ideal class character of $K$. Replacing $\chi_{15}$ by $\chi_{15}\phi$ if necessary, we obtain $\chi = \chi_{15}$. Recall that $j(E_1) = j$, so $E_1$ is one of $A(15)$ over $F$, the other one is $E_1^{-3}$. This proves (1).

To prove (2), we take $A(15)$ to be $E_1$. A substitution $x \mapsto x + \frac{1}{4}(35 + 16\sqrt{5})$ gives

$$E_1 : y^2 = \frac{x}{4}f(x),$$

where $$f(x) = 4x^2 + 105x + 1410 + (48x + 630)\sqrt{5}.$$ Assume that $x$ is a rational number and that

$$f(x) = d_1(a + \sqrt{5})^2$$

with $a, d_1 \in \mathbb{Q}$. Then $(x, \frac{1}{2}(a + \sqrt{5}))$ is a nontrivial $F$-rational point of the quadratic twist $E_1^d$ with $d = xd_1$. Since $a, d_1$, and $x$ are rational numbers, (3.6) implies

$$\frac{4x^2 + 105x + 1410}{a^2 + 5} = \frac{48x + 630}{2a} = d_1.$$

Substituting $a = \frac{8x + 105}{2}$, one has then

$$A : -15z^2 + (1410 + 105x + 4x^2)z - 192x^2 - 5040x - 33075 = 0$$

and the following fact: If $(x, z)$ is a rational solution of (3.7) then $E_1^d$ with

$$d = 3xz$$

12
has an \( F \)-rational point which is of infinite order for all but finitely many \( d \) ([Go, Proposition 1]). Now part (2) of the theorem follows from the following steps.

1. The equation (3.7) defines an elliptic curve \( A \) over \( \mathbb{Q} \). It has two ‘infinite’ points, the horizontal one \( O = [0, 1, 0] \) and the vertical one \( [1, 0, 0] \) in terms of homogeneous coordinates \( [x, z, y] \). We choose \( O \) to be the identity. One can check that \( Q_0 = (17/28, 2307/49) \) is of infinite order. The graph in the next page is the real locus of \( A \) in the \((x, z)\)-plane.

2. For each rational point \( P = (x, z) \in A(\mathbb{Q}) \), let \( d(P) \) be the squarefree part of \( 3x(P)z(P) \). Then for every square free integer \( d \neq 0 \), there are only finitely many \( P \in A(\mathbb{Q}) \) such that \( d = d(P) \). Indeed, for a fixed \( d \), if \( 3xz = dy^2 \), then (3.7) gives rise to

\[
C : -15d^2y^4 + 3d(1410 + 105x + 4x^2)xy^2 - 27(8x + 105)^2x^2 = 0.
\]

This defines an algebraic curve \( C \), which has 2 double points \((0, 0)\) and \((-105/8, 0)\) and is nonsingular everywhere. So the normalization of \( C \) has genus \( 6-2 = 4 > 1 \) generically and has thus finitely many rational points. This implies that \( A \) produces infinitely many square-free \( d(P) \)s.

3. It is known that the root number of \( \chi_{15}^d \) is the sign of \( d \) when \((15, d) = 1 \). Since \( 5 \) is a square in \( F \), we can replace \( d \) by \( d/5 \) without affecting the curve or the root number. So we only need to make sure that \( 3 \nmid d \). Let \( Q_0^0 = Q_0 = (17/28, 2307/49) \in A(\mathbb{Q}) \), and for each integer \( r > 0 \), let \( Q_j^r = (-1)^j2Q_{r-1}^0 \) for \( j = 0, 1 \). For example,

\[
Q_1^0 = \left( -\frac{671}{112}, \frac{867}{64} \right), \quad Q_1^1 = \left( -\frac{2269}{112}, \frac{867}{64} \right),
\]

and

\[
Q_2^0 = \left( -\frac{8520616668059}{290795014496}, \frac{126353913920688}{2639880802441} \right),
\]
\[
Q_2^1 = \left( \frac{887247537539}{290795014496}, \frac{126353913920688}{2639880802441} \right).
\]

**Claim** Let \( x^j_r = x(Q^j_r) \), and \( z_r = z(Q^j_r) \). Then the \( x \)-coordinates \( x^j_r \) are relatively prime to 3 (i.e., in \( \mathbb{Z}_3^* \)), and

\[
(3.9) \quad z_r \equiv -6 \mod 9, \quad \text{but} \quad z_r \not\equiv -6 \mod 27.
\]

First notice that by rewriting (3.7) as a polynomial equation of \( x \), one sees

\[
(3.10) \quad x^0_r x^1_r = -\frac{15}{4} \frac{(z_r - 45)(z_r - 49)}{z_r - 48}, \quad x^0_r + x^1_r = -\frac{105}{4}.
\]

So (3.9) would imply that \( x^0_r x^1_r \) is prime to 3. This implies in turn by (3.10) that \( x^j_r \) is prime to 3. We prove (3.9) by induction. Direct computation using MAPLE gives

\[
z(2Q) = \frac{3}{4} \frac{f(x, z)}{g(x, z)},
\]

where \( x = x(Q) \), \( z = z(Q) \), and

\[
f(x, z) = 64x^6 z - 2048x^6 - 80640x^5 + 28000x^5 z + 62000x^4 + 208080x^4 z
\]

\[
+ 80z^2 x^4 + 47565000x^3 + 126000z^2 x^3 - 16044000z x^3 - 2400z^3 x^2 + 876121425x^2
\]

\[
- 423747000zx^2 + 617700z^2 x^2 - 42033600zx + 6604510500z x + 8914500z^2 x
\]

\[
- 63000z^3 x + 4500z^4 + 59625000z^2 + 21918802500 - 846000z^3 - 1867122000z,
\]

and

\[
g(x, z) = x^2 (-30z + 1410 + 105x + 4x^2)^2.
\]

When \( 3 \nmid x \) and \( z \) satisfies (3.9), one sees immediately from the formulas that

\[
\text{ord}_3 z(2Q) = 1.
\]

By (3.9), one has \( z \equiv 3 \mod 9 \) and so

\[
z(2Q)/3 + 2 \equiv \frac{3}{x^2 + 3x + 3} \mod 9.
\]

This proves that \( z(2Q) \) also satisfies (3.9) and thus the claim.

So we see that \( d(Q^j_r) \) is always relative prime to 3. To show that there are infinitely many \( d(Q^j_r) > 0 \), it is enough to make the following observation: If \( x(Q) \) and \( x(-Q) \) are both negative, then at least one of the four numbers \( x(\pm 2Q) \) and \( x(\pm 4Q) \) is positive. This can be easily seen from MAPLE. To be brief, we can assume that \(-105/8 < x(Q) < 0\). If \( Q \) is in the upper branch, then one of the two numbers \( x(\pm 2Q) > 0 \). Let \( x_0 = -0.768... \) be the reflective point of the lower branch of \( A(\mathbb{R}) \) on the right of \( x = -105/8 \). When \(-105/8 < x(Q) < x_0\), the tangent line at \( Q \) is below the curve (concave-up) and hits the curve at \(-2Q\) with \( x(-2Q) > 0 \). When \( x_0 < x < 0 \), the same consideration gives \( x(2Q) < x_0 \). So either \( x(4Q) \) or \( x(-4Q) \) is positive. Since \( x_0 \) is not a rational number, we don’t need to worry about \( x = x_0 \). This completes the proof of Theorem 3.2.
References


E-mail address: jjimenez@mat.upc.es

Department of Mathematics, University of Wisconsin Madison, WI 53717
E-mail address: thyang@math.wisc.edu